Mail Stop Patent Application COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450 Case Docket No.TUC920030106US1 September 29, 2003 Express Mail Label No. EV326952903US 0022.0052

24033

PATENT TRADEMARK OFFICE

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s):

Yu-Cheng Hsu and Richard Anthony Ripberger

For:

LOGICAL PARTITIONING IN REDUNDANT SYSTEMS

.

Enclosed are:

X Sheet(s) of drawings + 0 extra copies;

24 pages of Application; 14 pages of specification, 1 page of abstract

X An assignment of the invention to <u>International Business Machines Corporation</u> (Will follow.)

__ An associate power of attorney.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

X Declaration and Power of Attorney. (___ Will follow.)

Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.

IDS enclosed - ___ references.

X Formal Drawings enclosed; 8 sheets.

X Return Postcard.

| | | · 1 · · · · · · | CALCULATIO | N OF FEES | | · | |
|------|--|----------------------------|-------------------|----------------------------|-----------------------|-------------|--------|
| ITEM | | NO. OF CL MINUS BA | AIMS FILED SE* | NO. OF CLAIMS OVER BASE | X SM/LG ENTITY FEE | \$ AMOUNT | \$ FEE |
| Α | TOTAL CLAIMS FEE | 30 | - 20* = | 10 | -X \$9 or \$18 | \$180 | 70年第二十 |
| В | INDEPENDENT CLAIMS | 3 | - 3* = | 0 | X \$42 or \$84 | \$0 | |
| С | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | \$180 | | |
| D | MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280 | | | | \$0 | | |
| E | BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$750 | | | | | FEE = \$750 | \$750 |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | \$930 | |
| G | ASSIGNMENT RECORDING I | FEE | | | - | \$ 40 | \$40 |

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The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR

1.311(b)

X Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted.

Rabindranath Dutta Registration No. 51,010

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Express Mail Label No. EV326952903US TUC920030106US1 0022.0052

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: Not Yet Assigned

Y. Hsu and R.A. Ripberger

Serial No.:

Not Yet Assigned

Art Unit: Not Yet Assigned

Filed: September 29, 2003

LOGICAL PARTITIONING IN

REDUNDANT SYSTEMS

CERTIFICATE OF MAILING

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

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I hereby certify that patent application papers, including specification, claims and 8 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 29, 2003

(Date of Deposit)

Geoffrey Bell

(Name of person mailing paper or fee)

(Signature)

| TRANSMITTAL FORM | Application Number | Not Yet Assigned | |
|---|------------------------|-----------------------|--|
| (To be used for all | Filing Date | Concurrently Herewith | |
| correspondence | Inventor | Y. Hsu et al. | |
| after initial filing) | Group Art Unit | Not Yet Assigned | |
| | Examiner Name | Not Yet Assigned | |
| Total Number of Pages in this Submission: 8 | Attorney Docket Number | TUC920030106US1 | |

ENCLOSURES (check all that apply)

| ☐ Fee Transmittal Form | ☐ Assignment Papers (for an | ☐ After Allowance | |
|------------------------------|---|-------------------------------|--|
| ☐ Fee Attached | application) | Communication to Group | |
| ☐ Amendment/Reply | Drawing(s); 8 sheets | ☐ Appeal Communication to | |
| ☐ After Final | ☐ Licensing-related papers | Board of Appeals and | |
| ☐ Affidavits /Declarations | □ Petition: | Interferences | |
| ☐ Extension of Time Request | | ☐ Appeal Communication to | |
| ☐ Express Abandonment | ☐ Petition to Convert to a | Group (Appeal Notice, Brief, | |
| Request | Provisional Application | Reply Brief) | |
| ☐ Information Disclosure | ☐ Power of Attorney, | ☐ Proprietary Information | |
| Statement; references | Revocation, and/or Change of | ☐ Status Letter | |
| ☐ Certified Copy of Priority | Correspondence Address | ☐ Certificate of Correction | |
| Document(s) | ☐ Terminal Disclaimer | ☐ Fee Address Indication Form | |
| ☐ Response to Missing | □ Request for Refund □ Other Enclosure(s) | | |
| Parts/Incomplete Application | □ CD, Number of CD(s) | (please identify below) | |
| ☐ Response to Missing Parts | | | |
| under 37 CFR 1.52 or 1.53 | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| Firm or Individual Name: | Rabindranath Dutta, Registration No. 51,010 |
|---|--|
| Signature: | a lendent Delle |
| Date: | September 29, 2003 |
| KONRAD RAYNES VICTOR 315 South Beverly Drive, Suite 2 Beverly Hills, California 90212 (310) 556-7983 | R & MANN, LLP The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0466 |

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| Typed or Printed name: | Rabindranath Dutta | | |
|------------------------|--------------------|--------------------------------|--|
| Signature: | Rabinda - U Delle | 24033 PATENT TRADEMARK OFFICE | |
| Date: | September 29, 2003 | | |